



United States Patent and Trademark Office

Applicant:

Donald J. McMichael

Docket No.:

19480

Serial No.:

10/639,057

Group:

3761

Confirmation No:

6758

Examiner:

Filed:

August 11, 2003

For:

Catheter Having a Balloon Member Recessedly Attached Thereto

Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(c) (1)

Before Final Rejection or Notice of Allowance

Certification Under 37 C.F.R. 1.97(e)(1) - Items Contained In a Search Report

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicant, through and by his attorneys, hereby wishes to direct the Examiner's attention to the hereinafter disclosed documents.

By inclusion of any given document in this Information Disclosure Statement, Applicant in no way admits that such document is effective as prior art against the above-identified application under either 35 U.S.C. 102 or 35 U.S.C. 103.

Additionally, submission of any document is not to be taken as an admission of the materiality of the document to the prosecution of the present application.

The Examiner is requested to review each cited document and personally determine its prior art status.

Applicant recommends that the Examiner conduct an independent search for any and all available material prior art and independently review the results of such search and the documents cited within this Statement.

Attached is a filled-in modified PTO-1449 form listing all of the documents cited in this Statement. A copy of each non-U.S. Patent document and of each non-patent document listed on the form is also presented herewith for the Examiner's review and convenience. Cited U.S. patents and U.S. patent application publications are not included in accordance with the U.S. Patent Office waiver of the

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requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003.

In accordance with 37 C.F.R. 1.97(e)(1), the undersigned certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Applicant requests the Examiner, in accordance with 37 C.F.R. 1.97, to indicate and make of record receipt and review of all of these documents by initialing the appropriate box in the accompanying PTO-1449. Applicant requests that the undersigned receive a copy of the initialed PTO-1449.

If the Examiner refuses to consider any or all of the herein listed materials because it is the Examiner's opinion that this Information Disclosure Statement is not in compliance with 37 C.F.R. 1.97, Applicant respectfully requests that the Examiner notify the undersigned, in writing, as to the basis of such opinion.

Please charge any prosecutional fees which are due to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

The undersigned may be reached at: (770) 587-7183.

Respectfully submitted,

DONALD J. MCMICHAEL

William W. Letson

Registration No.: 42,797

CERTIFICATE OF MAILING

I, William W. Letson, hereby certify that on ________ this document is being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Williams

William W. Letson

OCT 2 1 2004

List of Pateries and Publications for Information Disclosure Statement

Applicant(s):

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Examiner:

			U.S. PATENT	DOCUMENTS					
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date		
	A1	4,531,943	7/30/1985	Van Tassel et al.					
	A2	4,927,412	5/22/1990	Menasche			<u> </u>		
	A3	5,707,357	1/13/1998	Mikhail et al.					
	A4	2002/0198491	12/26/2002	Miller et al.					
			Foreign Pate	nt Documents					
							Trans.		
Initials		Doc. No.	Date	Country	Class	Subclass	Yes	No	
	A1					<u> </u>			
	A2							İ	
			Other De	ocuments					
Initials		Author, Title, Date, Pages, etc.							
	A1								
	A2								

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

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Date Considered:



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Supplemental Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(b)

Before First Office Action or Within Three Months of Filing Date

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicant, through and by his attorneys, hereby wishes to direct the Examiner's attention to the documents listed on the modified PTO 1449 Form. A copy of each non-U.S. patent document listed on the Form is also presented herewith for the Examiner's review and convenience.

By inclusion of any given document in this Information Disclosure Statement, Applicant in no way admits that such document is effective as prior art against the above-identified application under either 35 U.S.C. 102 or 35 U.S.C. 103.

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Respectfully submitted,

DONALD J. MCMICHAEL

William W. Letson

Registration No.: 42,797

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I, William W. Letson, hereby certify that on <u>Och. 18, 2004</u> this document is being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:

William W. Letson

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			U.S. PATENT	DOCUMENTS				
Initials		Doc. No.	Date	Name	Class	Subclass	Filing	Date
	A1	1,598,283	8/31/1926	Kinney				
	A2	3,544,668	12/1/1970	Dereniuk				
	А3	4,213,461	7/22/1980	Pevsner				
	A4	4,284,459	8/18/1981	Patel et al.				
	A5	4,447,228	5/8/1984	Patel				
	A6	4,976,710	12/11/1990	Mackin				
	A7	5,074,845	12/24/1991	Miraki et al.				
-	A8	5,087,394	2/11/1992	Keith				
	A9	5,324,260	6/28/1994	O'Neill et al.				
	A10	5,370,618	12/6/1994	Leonhardt				
	A11	5,709,691	1/20/1998	Morejon				
	A12	5,762,996	6/9/1998	Lucas et al.				
· · · · · · · · · · · · · · · · · · ·	A13	5,860,952	1/19/1999	Quinn				•
	A14	5,860,960	1/19/1999	Quinn				
	A15	5,879,499	3/9/1999	Corvi				
	A16	6,506,179	1/14/2003	Tiefenthal et al.				
	A17	6,740,273	5/25/2004	Lee				
•			Foreign Pate	nt Documents				
						Tra	ns.	
Initials		Doc. No.	Date	Country	Class	Subclass	Yes	No
	A1	WO 00/23136	4/27/2000	WO-PCT_				
	A2	WO 02/051490	7/4/2002	WO-PCT				
	•		Other D	ocuments	-			
Initials	T	Author, Title, Date, P	ages, etc.					
	A1		-4.00					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

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Date Considered: